

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: CORTHERA, INC.Application No./Patent No.: 6,984,128 Filed/Issue Date: January 10, 2006Entitled: METHODS FOR ENABLING AND STABILIZING TOOTH MOVEMENTCORTHERA, INC., a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Peter M. Breining, Dennis R. Stewart To: BAS Medical, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel 014648, Frame 0257, or for which a copy thereof is attached.
2. From: BAS Medical, Inc. To: Corthera, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Brigitte A. Hales  
Signature  
Brigitte A. Hales  
Printed or Typed Name  
Attorney of Record for Assignee  
Title

10.22.08  
Date  
415-268-6085  
Telephone Number

Attorney Docket No. 64325-2000600

# Delaware

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*The First State*

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "BAS MEDICAL, INC.", CHANGING ITS NAME FROM "BAS MEDICAL, INC." TO "CORTHERA, INC.", FILED IN THIS OFFICE ON THE ELEVENTH DAY OF FEBRUARY, A.D. 2008, AT 5:31 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

3554764 8100

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You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 6376056

DATE: 02-12-08

**CERTIFICATE OF AMENDMENT OF THE  
THIRD AMENDED AND RESTATED CERTIFICATE OF INCORPORATION OF  
BAS MEDICAL, INC.**

Stan Abel certifies that:

1. He is the President and Chief Executive Officer of BAS Medical, Inc., a Delaware corporation.
2. Article I of the Third Amended and Restated Certificate of Incorporation of the corporation shall be amended in its entirety to read as follows:

"The name of the corporation is Corthera, Inc. (the "Corporation")."

3. The foregoing Amendment of the Third Amended and Restated Certificate of Incorporation (the "Certificate") has been duly approved by the Board of Directors and the required vote of stockholders in accordance with Sections 242 and 228 of the Delaware General Corporation Law.

IN WITNESS WHEREOF, the corporation has caused this Certificate to be signed by its President and Chief Executive Officer, this 11th day of February, 2008.

/s/ Stan Abel

Stan Abel,  
President and Chief Executive Officer

*State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 05:41 PM 02/11/2008  
FILED 05:31 PM 02/11/2008  
SRV 080144325 - 3554764 FILE*